

PTO/SB/21 (08-00)

Under the Paperwork Reduction	on Act of 1995, no perso	Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE tof 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.					
			Application Numb				
TRA	ANSMITTAL		Filing Date	11/29/2001			
	FORM		First Named Inven	tor Comiskey			
(to be used for a	ill correspondence af	ter initial filing)	Group Art Unit	2873			
			Examiner Name				
Total Number of	Total Number of Pages in This Submission 10			mber H-312			
		ENCL	OSURES (ch	(check all that apply)			
	claration(s) Request ent Request ure Statement iority g Parts/	Continue Continue	g-related Papers to Convert to a nal Application f Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Request for Corrected Filling Receipt			
	SIGNATI	URE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name		David J. Cole					
Signature		Danil J. Cole					
Date			12/19/2001				
CERTIFI			TE OF MAILING				
		dence is being deposited with the United States Postal Service with sufficient postage as first class Commissioner for Patents, Washington, DC 20231 on this date:					
Typed or printed name							
Signature	·	Date					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

12911BB

provided on a separate sheet, as required by U.S. practice. New claim 9 has been provided to remove multiple dependencies and put the claims in acceptable form for allowance.

Respectfully submitted,

David P. Gordo

Reg. #29,996

Attorney for Applicant(s)

65 Woods End Road Stamford, CT 06905 (203) 329-1160



UNITED STATES PATE AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/683,179

11/29/2001

Barrett Comiskey

CONFIRMATION NO. 7982

26245 DAVID J COLE EINK CORPORATION 733 CONCORD AVE CAMBRIDGE, MA 02138-1002

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JAN 1 5 2002

OC000000007161765*

FORMALITIES LETTER

Technology Center 2600

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Natick Massachusetts, 01760 US

SIPE OF	
22 5 7 500U R	PTO/SB/17 (10-01)
- 	Approved for use through 10/31/2002. OMB 0551-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT for FY 2002

Patent fees are subject to annual revision.

65.00 TOTAL AMOUNT OF PAYMENT (\$)

espond to a collection of info	ormation unless it displays a valid OMB control number
Co	omplete if Known
Application Number	09/683,179
Filing Date	11/29/2001
First Named Inventor	Comiskey
Examiner Name	
Group Art Unit	2873
Attorney Docket No.	H-312

	METHOD OF PAYMENT	FEE CALCULATION (continued)	
	The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES	-
	Deposit Account 501162	Large Small Entity Entity	,
	Number	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid
	Account Name E Ink Corporation	105 130 205 65 Surcharge - late filing fee or oath	65.00
	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 _ 50 227 25 Surcharge - late provisional fillng fee or cover sheet	
·.	Applicant claims small entity status.	139 130 139 130 Non-English specification	_=
	See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination	
¥	2. Payment Enclosed: Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action	
	FEE CALCULATION	113_1,840* 113_1,840* Requesting publication of SIR after Examiner action	
	1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	
	Large Entity Small Entity	116 400 216 200 Extension for reply within second month	
	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month	
	101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month	
	106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month	
	107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	
	108 740 208 370 Reissue filing fee	120 320 220 160 Filling a brief in support of an appeal	
•	114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing	
		138 1,510 138 1,510 Petition to institute a public use proceeding	
	SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable	
	2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional	
	Fee from Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)	
	Total Claims20** = X =	143 460 243 230 Design issue fee	
	Independent - 3** = X =	144 620 244 310 Plant issue fee	
	Multiple Dependent =	122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
- 1	Large Entity j Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt	
	Fee Fee Fee Fee Oescription Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	
	102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filling a submission after final rejection (37 CFR § 1.129(a))	
	104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
[over original patent 110 18 210 9 ** Relssue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	11
12/27/	and overheld in the state of	169 900 169 900 Request for expedited examination	
01 FC:a	5 65.00 CHSUBTOTAL (2) (\$)	of a design application Other fee (specify)	
ĺ	**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65	5.00

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)		David J. Cole	Registration No. (Attorney/Agent)	29629	Telephone	617-499-6069
Signature		David J. C	wh.		Date	12/19/2001
	MA DAMES I	41.1.4	1 2	***		

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P: O/SB/18 (8-00)

Approved for use throughhurst/2002, 1 IMB 0651-0032

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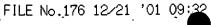
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PROVISIONAL APPLICATION FOR PATENT COVER SHEET This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under

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Given Name (first and middle	a lif an A					Residenco	
Frank Q.	Family Name or Sumame			d elther :	State or Foreign Coult	(Y)	
Jian-lar		CIU QIU		10206 Crested Iri	s Drive,	Montgomery Village	MD 20886
Kent Young Liang		CHU		10123 Starling To	are, Ro	ckville, MU 20850 Rockville, MD 20850	
Struren				14110 Grand Pre	Road #:	cockville, MD 20850 33, Silver Spring, MD	20908
Additional inventors are	being name	d on the sepa	rately numb	ered sheets attached			
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Individual Name	Individual Name Jeffrey I. Auerbach						
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Address	Suite 240	-					
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Country	USA		Telephone	301-896 0600	Fax	301-896-0607	-
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Specification Number	of Pages	28			<i>y</i>		
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	Other (specify)						
Application Data Sheet					L		
METHOD OF PAYMENT OF	filing fee:	FOR THIS PROV	VISIONAL A	PPLICATION FOR PA	TENT (theck one)	
Applicant claims small	l entity status	See 37 CFR 1.2	7.			FILING FEE	
A check or money ord	icr is andose	d to cover the filin	g fees	V_A	W I m	AMOUNT (3)	
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USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to proop a) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to car piete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sant to the Chief COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C.



INVENTION & IDEAS

465 S. Mathilda Ave.

Sunnyvale, CA 94086

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A C S I M I L E

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Sector :

2673



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:

Not yet known

Application of:

Comiskey et al.

Serial No.:

09/683,179

Filed:

November 29, 2001

For:

ADDRESSING SCHEMES FOR ELECTRONIC

DISPLAYS

Cambridge, Massachusetts December 19, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington DC 20231

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JAN 1 5 2002

Via facsimile to 703-308-7751

Technology Center 2600

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, issued December 6, 2001 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors, and a Fee Transmittal (Form PTO/SB/17) authorizing the charging of the surcharge required by 37 CFR 1.16(e) to the assignee's Deposit Account. The duplicate copy of the Notice also accomapnies this filing.

Please note the attached Request for Correction of Filing Receipt.

Respectfully submitted

David J. Cole Registration No. 29629

E INK Corporation
733 Concord Avenue
Cambridge MA 02138
Telephone (617) 499-6069
Fax (617) 499-6200
E-mail dcole@eink.com



Certificate of Transmission under 37 CFR 1.8

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Technology Center 2600

I hereby certify that this Transmittal Form (PTO/SB/21), Fee Transmittal (PTO/SB/17), Response to Notice to File Missing Parts, Declaration, Application Data Sheet, Copy of Notice to File Missing Parts, and Request for Corrected Filing Receipt are being facsimile transmitted to the United States Patent and Trademark Office on December 19, 2001 (Total 11 pages, including this Certificate).

Signature

David J. Cole

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.